



Guidance note on preparing funding requests under the Barbados Implementation Modalities

This document contains the guidance for national focal points or authorities and access entities preparing funding requests under the Barbados Implementation Modalities (BIM).



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1. This document contains guidance for developing countries and access entities (where engaged by developing countries) that are preparing funding requests for submission to the FRLD under the Barbados Implementation Modalities (BIM). The guidance is based on the assessment methodology for the review of funding requests, and is aligned with the terms of reference for the call for funding requests, including the descriptions of the eligible activities for funding and the funding criteria adopted by the Board.
2. Further information on the call for funding requests, including the funding request template and terms of reference, is available at www.frlld.org/nodebim.

Section A. Overview

Section	Information to be provided
A.1 – A.11	Fill in all relevant details relating to the funding request and ensure that the information provided is consistent with the other sections of the funding request.

Section B. Funding request information

Section	Information to be provided
B.1	<p>Provide clear information on the country context and challenges associated with responding to the adverse effects of climate change, including justification for support (including linkage to priority needs and gaps and any relevant barriers to addressing the need identified); target location and beneficiaries (if relevant); and alignment with national processes (e.g. references to national strategies relevant to loss and damage, including the nationally determined contribution, the national adaptation plan, national development strategies, and disaster management or sectoral plan(s)). Include a description of vulnerable groups and how they will benefit, with gender differentiation, to the extent possible.</p> <p>Any relevant data or information on climate impacts and vulnerability (e.g. benchmark comparison data, vulnerability assessments, needs assessments) may be included if relevant and available.</p>
B.2	Provide a clear description of outcomes, avoiding overlap/duplication, that are specific and directly contribute to responding to the challenges identified in section B.1. Include a description for each outcome and associated activities (where relevant) that are technically and operationally feasible, and that logically lead to achieving the outcomes in the short, medium or long term.
B.3	Provide a budget breakdown, ensuring that (1) there is consistency between the budget and the outcomes and descriptions from section B.2 as well as the implementation arrangements in section D; (2) the costs are realistic for the scope and scale of outcomes; and (3) oversight fees are aligned with the oversight fee policy.* Include budget lines for project management, and monitoring and evaluation as part of the project budget, ensuring that they are proportionate and adequate.

* Oversight fees may be left blank and retroactively reflected in the request once the oversight fee policy is developed and adopted by the Board.

Section C. Alignment of the request to the funding criteria

Section	Information to be provided
C.1	<p>For category (a) activities, provide a clear description of how the intended outcomes directly respond to loss and damage, including any data or information showing direct relevance to loss and damage, if relevant and available.</p> <p>For category (b) activities, provide a clear description of gaps and barriers to addressing needs, background on why gaps and barriers exist, and an explanation of how outcomes fill identified gaps and barriers, including how they build on and complement existing national loss and damage responses.</p>
C.2(a)	<p>Provide a description of how the funding request is country led and country owned and how a bottom-up approach has been implemented. Include evidence that the request was developed under the leadership of the country (e.g. a government directive and/or policy, workshop reports, meeting minutes) as well as a narrative description of how the request will strengthen national responses and is responsive to country priorities, needs and circumstances.</p> <p>Provide a description of how diverse stakeholders (e.g. civil society, local authorities, affected communities, stakeholders at national, subnational and local level, if relevant), communities and vulnerable groups were meaningfully consulted during design of the request (including evidence of consultations such as workshop reports and meeting minutes), and an explanation of mechanisms for ongoing engagement with these stakeholders during implementation (e.g. stakeholder engagement plan), including for addressing concerns (e.g. information disclosure, grievance redress mechanism).</p>
C.2(b)	<p>Provide a narrative description that demonstrates relevance and measurability for outcomes and activities that respond to economic and non-economic loss and damage. Describe the expected results and impacts that should be specific, measurable and feasible given the available budget and proposed activities.</p> <p>Provide a description of utilization of the funding by vulnerable groups, including an indicative target percentage against total funding (USD). Include a description of positive results and impacts to be achieved at the community level, including for different vulnerable groups.</p> <p>Also include explanations of how the activity(ies) will demonstrate long-term resilience, including through reducing vulnerability and improving resilience against future events, as well as explanations of the environmental, social and development co-benefits (including how gender-responsive approaches will be applied during implementation).</p> <p>Describe new approaches or funding instruments, if relevant, and include a plan for rapid disbursement and implementation of funding or resources within the country (with a description of timelines for implementation).</p>
C.2(c)	<p>Provide a description of how the activities complement and are coherent with existing national activities and initiatives, including those funded by other multilateral climate funds or other sources of funding.</p> <p>Provide an explanation of how the request avoids overlapping with other projects or funding streams, including mechanisms for coordination with relevant ministries, agencies and stakeholders. Indicate any additional sources of available funding (e.g. co-financing, other funders), if relevant.</p>

Section D. Implementation arrangements

Section	Information to be provided
D.1	<p>Provide a description of the roles and responsibilities of all entities involved, including a description of a clear firewall between the oversight roles and the implementation roles. Explain the fund flow from FRLD through the national government or access entity to the executing entities. Indicate any conditions or controls for disbursement to executing entities, if relevant.</p> <p>Explain how entities and relevant stakeholders will coordinate during implementation and confirm that entities are judged (by the country and/or accredited entity where relevant) to have the sufficient capacity and experience to deliver the proposed activities, or provide plans for how capacities will be enhanced.</p>
D.2	<p>Align the disbursement schedule with the expected outcomes, results and activities, the institutional arrangements, and the monitoring, evaluation and learning (MEL) elements. Ensure consistency between the disbursement amounts and the total budget in section B.3.</p>

Section E. Monitoring, evaluation and learning

Section	Information to be provided
E.1	<p>Provide information on indicators that are specific, measurable and relevant, with means of verification that have credible sources for data-collection and are practical and reliable, and targets that are realistic and align with the implementation timeline and disbursement schedule.*</p>
E.2	<p>Include a clear description of how the funding request will document lessons learned and the process for analysing successes and challenges (e.g. periodic reviews, stakeholder feedback) and how these will inform adaptive management and future programming.</p> <p>Describe plans for disseminating knowledge to relevant stakeholders and the use of accessible formats and channels for knowledge dissemination.</p>

* Note that this section may need to be retroactively updated to reflect the FRLD results measurement framework, once adopted by the Board.

Section F. Fiduciary standards and environmental and social safeguards

Section	Information to be provided*
F.1	<p>Provide confirmation that due diligence was conducted according to the access entity or national government's own fiduciary standards/policies. Include details on the outcomes of the due diligence, including risks identified, description of mitigation measures for each risk, and plans for implementing risk mitigation measures.</p>
F.2	<p>Provide confirmation that due diligence was conducted according to the access entity or national government's own environmental and social safeguards standards/policies. Include details on the outcomes of the due diligence, including risks identified, description of mitigation measures for each risk, and plans for implementing risk mitigation measures.</p>

* Note that for direct access via direct budget support through national governments, this section may need to be retroactively updated to align with final modality and risk management framework once adopted by the Board.

Annex

Funding criteria assessment matrix

Funding criteria	High	Medium	Low
(a) Bottom-up, country-led and country-owned approaches	Strong indication of country leadership and bottom-up design, including through meaningful local involvement	Some engagement or ownership, but gaps in inclusivity or integration	Request appears externally driven or lacks meaningful local involvement
(b) Expected results and impacts for responding to loss and damage	Strong indication of relevant, measurable and positive results, and impacts, including for vulnerable communities	Some results identified, but lacks clarity, measurability, or contribution to long-term benefits	No clear link between activities and meaningful results for responding to loss and damage
(c) Complementarity and coherence at the national level	Strong indication of complementarity and coherence	Some alignment, but lacks detail on complementarity and coherence	No clear evidence of complementarity and coherence